



Actinver
Securities

Dear Investor:

When you use Actinver Securities as your Broker Dealer, you entrust us not only with your hard-earned assets but also with your personal and financial data. We consider your data to be private and confidential, and we hold ourselves to the highest standards of trust in their safekeeping and use. We collect nonpublic personal information about Actinver Securities clients such as you from the following sources:

- **Information we receive from you on applications or other forms;**
- **Information about your transactions with us, our affiliates, or others. We do not disclose any nonpublic personal information about our customers or former customers to anyone, except as permitted by law. Moreover, we will not release information about our customers or former customers unless one of the following conditions is met:**
- **We receive your prior written consent.**
- **We believe the recipient to be you or your authorized representative.**
- **We are required by law to release information to the recipient.**

We only use information about you and your account to help us better serve your investment needs or to suggest services or educational materials that may be of interest to you.

Confidentiality and Security

We maintain physical, procedural safeguards to guard your personal account information. We also restrict access to your personal and financial data to authorized Actinver Securities associates who have a need for these records. We require all nonaffiliated organizations to conform to our privacy standards and are contractually obligated to keep the information provided confidential and used as requested. Furthermore, we will continue to adhere to the privacy policies and practices described in this notice even after our account is closed or becomes inactive. We will continue to conduct our business in a manner that conforms with our pledge to you, our expectations and all applicable laws.

Important Information about Procedures for Opening a New Account

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

Sincerely,

Actinver Securities, Inc.