



**ACTINVER SECURITIES, INC.  
PRIVACY POLICY**

ACTINVER SECURITIES, Inc. ("Actinver"), has a policy of protecting the confidentiality and security of the information that we collect from our customers. We will not share non-public information about you with third parties without your consent, except for the specific purposes described below. This notice outlines the information we can obtain from you and the circumstances under which we may share it.

We limit the collection and use of information to what is strictly necessary to provide our service. This service includes managing your accounts, processing transactions requested by you, and managing our business. We obtain information directly from you, when solicited, the access and use of financial products and services offered by Actinver either in person, by telephone, or electronically. We can verify this information or obtain additional information from consumer information agencies or public sources. This information may be related to your finances, employment, occupations or other personal characteristics, as well as transactions and interactions with, or through others or us.

Our employees are obligated to protect the confidentiality of the information and to comply with the established policies. They can access the information only when there is an adequate reason to do so, such as to manage our products or services. We also maintain physical, electronic, and procedural safeguards to protect your information, which comply with all applicable laws. Employees who violate our privacy policy are subject to disciplinary proceedings.

We may disclose information when we believe it is necessary for the development of our business, or when disclosure is required by law. For example, information may be disclosed for audit or investigation purposes to lawyers and other professionals, or to enforce the law of regulatory agencies, for example, to help prevent fraud. Also, we may disclose information to third party service providers (i), to enable them to provide services to our company, such as: maintenance of computer equipment, data maintenance or computer services, (ii) facilitating the processing of transactions requested by you, (iii) to assist us in the provision of products and services for you, (iv) credit review and reporting. Except in specific and limited cases, without your consent, we will not make any communication or information to other unaffiliated companies that want to sell their products or services. As an example, we do not sell customer lists and will not sell your name to a catalog company.

If you prefer that we do not disclose nonpublic personal information about you to unaffiliated third parties, you may opt out of this disclosure, i.e. you may contact us to request not to make these disclosures (other than permitted by law). If you choose not to disclose non-affiliated third parties, you may call 713-885-9843 or send an email to: [pseger@actinversecurities.com](mailto:pseger@actinversecurities.com).

Even if you stop being our customer, our Privacy Policy will still apply.